

LAW OFFICES OF  
**KENYON & KENYON** RECEIVED  
ONE BROADWAY

NEW YORK, NY 10004-1050  
TEL. (212) 425-7200  
FAX. (212) 425-5288

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60313 FRANKFURT AM MAIN  
FEDERAL REPUBLIC OF GERMANY  
TEL. (49) (69) 97 58 05 0  
FAX. (49) (69) 97 58 05 99

WWW.KENYON.COM

WASHINGTON, D.C. OFFICE  
1400 K STREET, N.W., SUITE 700  
WASHINGTON, D.C. 20005-1257  
TEL. (202) 220-4200  
FAX. (202) 220-4201

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RIVERPARK TOWERS, SUITE 600  
333 W. SAN CARLOS ST.  
SAN JOSE, CA 95110-2731  
TEL. (408) 975-7500  
FAX. (408) 975-7501

Direct dial: 212-908-6036

January 28, 2000

BY HAND

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Ms. Mary Louise McAskill



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FEB - 8 2001

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Re: U.S. Patent Application Serial No. 08/976,159  
Filed: November 21, 1997  
Title: **ENHANCED MATCHING APPARATUS AND  
METHOD FOR POST-TRADE PROCESSING  
AND SETTLEMENT OF SECURITIES TRANSACTIONS**  
Inventor: BRANDER et al.  
Batch: F68  
Atty's Docket No. 2656/2

Dear Sir:

Applicants request that issuance of a patent on the above-referenced patent application be expedited because of cross-licensing issues.


The issue fee transmittal and the formal drawings are attached.

Should any additional information be required to process this request, the Patent Office is requested to contact the undersigned Attorney for Applicant.

Respectfully submitted,

KENYON & KENYON

By

  
Michelle M. Carniaux  
Attorney for Applicant  
Reg. No. 36,098

## PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

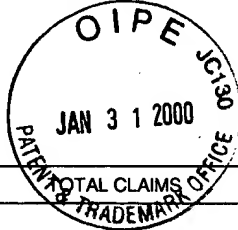
Box ISSUE FEE  
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Washington, D.C. 20231

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(Depositor's name)  
(Signature)  
(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/976,159	11/21/97	034	ROMAIN, J	2765 12/16/99
First Named Applicant: BRANDER, 35 USC 154(b) term ext. = 0 Days.				

TITLE OF INVENTION: ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 2656/2	705-037.000	F68	UTILITY	NO	\$1210.00	03/16/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kenyon & Kenyon  
2. \_\_\_\_\_  
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Depository Trust Company

(B) RESIDENCE: (CITY &amp; STATE OR COUNTRY)

New York, New York 10041

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

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☐ Advance Order - # of Copies \_\_\_\_\_

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

Michelle M. Carniaux, Reg. No. 36,098

(Date)

28 Janur 2001

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL OF FORMAL DRAWINGS**

Docket Number:  
2656/2

Batch No.  
F68

Application Number  
08/976,159

Filing Date  
November 21, 1997

Examiner  
J. Romain

Art Unit  
2765

Title  
**ENHANCED MATCHING APPARATUS AND  
METHOD FOR POST-TRADE PROCESSING AND  
SETTLEMENT OF SECURITIES TRANSACTIONS**

Inventor(s)  
BRANDER et al.

Assistant Commissioner  
for Patents  
Washington D.C. 20231



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In response to the Notice of Allowability mailed December 16, 1999, enclosed herewith is a new set of formal drawings (6 sheets) for the above application.

The Commissioner is hereby authorized to charge any required fees to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.

Dated: 28 Jan 2000

By:

  
Michelle M. Carniaux, Reg. No. 36,098

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

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